



TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY DOCKET NUMBER 98RO12954170 U.S. APPLICATION NO. 09/914315
INTERNATIONAL APPLICATION NO. PCT/FR00/00465	INTERNATIONAL FILING DATE 24 FEBRUARY 2000	PRIORITY DATE CLAIMED 25 FEBRUARY 1999
TITLE OF INVENTION: SECURED ACCESS DEVICE WITH CHIP CARD APPLICATIONS		
APPLICANT(S) FOR DO/EO/US: Jacques SONZOGNI, Mark TRIMMER		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p><input checked="" type="checkbox"/> 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p><input type="checkbox"/> 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p><input checked="" type="checkbox"/> 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p><input checked="" type="checkbox"/> 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p><input checked="" type="checkbox"/> 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 20px;"><input type="checkbox"/> a. is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> b. has been transmitted by the International Bureau.</p> <p style="margin-left: 20px;"><input type="checkbox"/> c. is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p><input checked="" type="checkbox"/> 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p><input checked="" type="checkbox"/> 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)):</p> <p style="margin-left: 20px;"><input type="checkbox"/> a. are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;"><input type="checkbox"/> b. have been transmitted by the International Bureau.</p> <p style="margin-left: 20px;"><input type="checkbox"/> c. have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> d. have not been made and will not be made.</p> <p><input checked="" type="checkbox"/> 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p><input checked="" type="checkbox"/> 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p><input checked="" type="checkbox"/> 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 16 below concern document(s) or information included:</p> <p><input checked="" type="checkbox"/> 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p><input checked="" type="checkbox"/> 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p><input checked="" type="checkbox"/> 13. A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p><input checked="" type="checkbox"/> 14. A substitute specification.</p> <p><input type="checkbox"/> 15. A change of power of attorney and/or address letter.</p> <p><input checked="" type="checkbox"/> 16. Other items or information:</p> <p style="margin-left: 40px;">Marked up version of substitute specification.</p> <p style="margin-left: 40px;">Submission of Proposed Modifications to Drawings.</p>		
<p>CERTIFICATE OF MAILING BY "EXPRESS MAIL"</p> <p>"EXPRESS MAIL" MAILING LABEL NUMBER 92747059396US</p> <p>DATE OF DEPOSIT August 24, 2001</p> <p>I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20031</p> <p>Jennifer Ferauson (TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)</p> <p><i>Jennifer Ferauson</i> (SIGNATURE OF PERSON MAILING PAPER OR FEE)</p>		

U.S. APPLICATION NO. 09/9.14315		INTERNATIONAL APPLICATION NO. PCT/FR00/00465		ATTORNEY'S DOCKET NUMBER 98RO12954170	
<input checked="" type="checkbox"/> 17. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO \$860.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$750.00 No international preliminary exam fee paid to USPTO but int'l search fee paid to USPTO (37 CFR 1.445(a)(2)) \$700.00 Neither international preliminary examination fee nor int'l search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00 International preliminary examination fee paid to USPTO and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS (PTO USE ONLY)	
Surcharge of \$130.00 for furnishing the oath or declaration later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). The filing fee has been calculated according to the Preliminary Amendment filed herewith as shown below:					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	32 - 20 =	12	x \$18.00	\$216.00	
Independent claims	4 - 3 =	1	x \$80.00	\$80.00	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+ \$270.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$1,156.00	
SUBTOTAL =				\$1,156.00	
Processing fee of \$130.00 for furnishing the English translation later than ____ 20 ____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
TOTAL NATIONAL FEE =				\$1,156.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$40.00
TOTAL FEES ENCLOSED =				\$1,196.00	
				Amount to be: refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,196.00</u> to cover the above fees is enclosed. b. _____ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>01-0484</u> .					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
<input checked="" type="checkbox"/> PLEASE ADDRESS ALL CORRESPONDENCE TO ATTORNEY OF RECORD: CHRISTOPHER F. REGAN					
<input checked="" type="checkbox"/> Associate this file with Customer No. 27975.					
		27975			
PATENT TRADEMARK OFFICE					
			 SIGNATURE MICHAEL W. TAYLOR NAME <u>43.182</u> REGISTRATION NUMBER		

00/914315

JC03 Rec'd PCT/TO 24 AUG 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

In re Patent Application of:
SONZOGNI ET AL.

Serial No. Not yet assigned

Filing Date: Herewith

For: SECURED ACCESS DEVICE WITH
CHIP CARD APPLICATIONS

"EXPRESS MAIL" MAILING LABEL NUMBER EL74705939/05

DATE OF DEPOSIT August 24, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST
OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE
INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER OF
PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20031

Jennifer Ferauson
(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)

Jennifer Ferauson
(SIGNATURE OF PERSON MAILING PAPER OR FEE)

PRELIMINARY AMENDMENT

Director, U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Prior to the calculation of fees and examination of
the present application, please enter the amendments and
remarks set out below.

In the Drawings:

Submitted herewith is a request for a proposed
drawing modification as indicated in red ink to label FIG. 1
as prior art. A second register CS discussed in the
application is being added to the microprocessor block 200 in
FIG. 2 as indicated in red ink. No new matter is being added.

In the Claims:

Please cancel Claims 1-4.

Please add new Claims 5-36.

5. A chip card comprising: